



# JOINT NOTICE TO INCREASE THE ATTRACTIVENESS OF THE LOMBARDY RESEARCH SYSTEM AND THE COMPETITIVENESS OF YOUNG RESEARCHERS APPLYING FOR ERC INSTRUMENTS

(FOR THE IMPLEMENTATION OF THE COLLABORATION AGREEMENT BETWEEN REGIONE LOMBARDIA AND FONDAZIONE CARIPLO SIGNED ON JUNE 29<sup>th</sup>, 2012)

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#### 1 - Premises

Within the framework of the Collaboration Agreement aimed at trialling initiatives to promote, develop and enhance the human capital and research directly impacting upon the Lombardy territory – signed on June 29<sup>th</sup>, 2012 – the Regione Lombardia and Fondazione Cariplo intend to support projects to:

- optimize the national and international integration of the Lombardy territory in advanced areas of research and development, thus helping to enhance Lombardy's human capital, improve territorial excellences and strengthen innovation-producing skills,
- increase the attractiveness of the Lombardy territory and the growth of its human capital dedicated to research.

As a response to recent data published by the European Research Council (ERC), indicating that Italy is poorly research-attractive both in terms of grants and number of beneficiaries deciding to carry out their research in Italy, the Fondazione Cariplo and Regione Lombardia have decided to jointly contribute in the attempt to reverse, or at least contain, this worrying trend for the future of Italian research, which is deemed as a strategic intervention priority to increase and strengthen durable and sustainable economic development within the territory.

This joint notice by the Regione Lombardia and Fondazione Cariplo is therefore aimed at improving the local environment's attractiveness in terms of scientific excellence, cultural environment, ability to host the best Italian and European talents and the presence of high-level structures characterized by a flexible organizational-administrative approach, and at supporting the competitiveness of young people willing to apply in ERC calls – *starting grant* category – and planning to carry out their research in the Lombardy territory.

#### 2 - Beneficiaries

Concerning the endowment of the resources made available by the Regione Lombardia, the public subjects entitled to submit project proposals referred to in the present notice are universities and public research bodies with headquarters in Lombardy (dealt with in Section 51, Paragraph 6, law 449/97 fulfilling the European community definition of research body – public – referred to in Commission Regulation (EU) no. 651/2014).

Concerning the endowment of the resources made available by the Fondazione Cariplo, private research bodies and private no-profit universities with headquarters in the Fondazione Cariplo's geographical area of reference (Lombardy and the Novara and Verbania provinces), as well as public universities and public research bodies located in the Novara and Verbania provinces, will also be deemed admissible.

It should be noted that regional resources allocated to the "Joint notice to increase the attractiveness of the Lombardy research system and the competitiveness of young researchers applying for ERC instruments" are exclusively designed for public research bodies — including public universities — stating they are not pursuing any economic activity within the submitted project, in compliance with the "Community framework for state aid for research and development and innovation".

### 3 - Project contents and characteristics

The organizations applying must submit a clear and precise intervention plan, including a detailed analysis of planned costs. The plan must focus on one of the following objectives:

- improving the attractiveness of the local research environment to researchers (*Principal Investigators*) – whether they are foreigners or Italians working abroad – who have formerly been awarded an ERC grant (*starting* or *consolidator* category);
- planning for a structured and well-developed system to train and support young researchers with good ideas who can demonstrate they have the potential and the characteristics needed to qualify for an ERC grant (starting grant category) and to be potential research leaders in the future.

The beneficiaries' objectives also include pursuing a forward-thinking *recruitment* policy within the research project, as well as the development of actions aimed at transforming knowledge into a long-term asset.

More specifically, the following 3 sub-measures are envisioned:

A) **excellence attractiveness**: this sub-measure is intended to recruit researchers with ERC grants in the *starting* or *consolidator* categories who have

- used less than 50% of their research budget abroad<sup>1</sup> at the moment of application;
- B) **strengthening**: this sub-measure is intended to support young researchers who have already applied for an ERC *starting-grant* call and were assigned a score equal to/higher than B<sup>2</sup> and who fulfill the requirements to be able to apply for a future ERC *starting-grant* or ERC consolidator-grant calls;
- C) **new opportunities:** this sub-measure is intended to train and support young researchers able to demonstrate their potential for independence in research and willing to apply for the first time<sup>3</sup> in a future ERC *starting-grant* call.

Concerning sub-measures B and C, in the event that the beneficiaries of the grant referred to in this notice are awarded an ERC grant, at least 50% of the research budget must actually be spent in carrying out research with the beneficiary of the grant awarded by the Regione Lombardia and Fondazione Cariplo.

Concerning sub-measure C only, one single proposal per beneficiary will be deemed admissible for financial benefit: should more than one application be submitted, only the first application in chronological order of submission will be considered and admitted for merit assessment; all other applications will be deemed inadmissible. This proposal may involve one or more young researchers, even belonging to different disciplinary areas.

Proposals will be deemed inadmissible for evaluation if:

- they are aimed at creating new research centers/laboratories or, more generally, they are requests of an exclusively infrastructural nature;
- concerning sub-measure A only, they entail expenditures that are directly linked to ERC-funded research and therefore risk funding cuts by the European authority.

# 4 - Scope of the project

In order to be admissible, project proposals must concern at least one of the research areas in the disciplinary macro-areas considered for an ERC grant, namely:

- Physical Sciences and Engineering
- Life Sciences

• Social Sciences and Humanities.

#### 5 - Project and grant amounts, project duration and allowable expenditures

The project must provide for investments (in terms of total allowable expenditures) of between 50,000 and 400,000 euro. Specifically, the investments to be planned and the ensuing awardable grants are the following, depending on sub-measures:

<sup>&</sup>lt;sup>1</sup> This condition is to be certified by the researcher awarded the ERC grant by means of a specific statement. Specific forms will be supplied through the Fondazione Cariplo website.

<sup>&</sup>lt;sup>2</sup> This condition is to be documented by the researcher during the application submission through the transmission of the documents (feedback) released by the ERC during the former evaluation.

<sup>&</sup>lt;sup>3</sup> This condition is to be certified by the applying organization by means of a specific statement. Specific forms will be supplied through the Fondazione Cariplo website.

	Minimum investment, €	Maximum investment, €	Awardable grant, equal to 80% of the investment (min – max), €	
Sub-measure A	200,000	400,000	160,000 - 320,000	
Sub-measure B	100,000	200,000	80,000 - 160,000	
Sub-measure C	50,000	200,000	40,000 - 160,000	

The benefit, consisting of a non-repayable grant, equals 80% of the total allowable expenditures; a maximum awardable grant of 320,000.00 euro is provided for. For all projects, the total allowable expenditures must concern costs incurred by the beneficiary for the purpose of implementing the proposed initiative, in compliance with the eligibility criteria and the maximum investments listed above.

# Projects are allowed a maximum duration of:

- 60 months for sub-measure A;
- 18 months for sub-measure B;
- 36 months for sub-measure C.

Projects must begin within 60 days of the date of the grant award.

A total extension not exceeding 6 (six) months may be granted by the Fondazione Cariplo, after consulting with the Regione Lombardia, at the request of the beneficiaries, for reasons independent of and not foreseeable by the beneficiaries themselves, and where justifiably required to carry out the project.

### Types of allowed items of expenditure:

- "Purchase of furniture and equipment (A03)"

  This item of expenditure includes costs for ex novo purchase of multi-year use equipment or software needed for laboratory upgrading, up to a maximum of 30% of the total investment;
- "Structured/non-structured staff (A05/A06)"
  - This item of expenditure may also include: expenses for administrative staff deemed necessary to improve the hospitality/support of the research institution, thus relieving researchers of *time-consuming* activities generating little added value; costs for technical staff deemed useful to improve the research environment for the purposes of the project; man-hours spent in *mentoring/tutoring* activities aimed at supporting new *starting-grant* proposals.
- "Professional services provided by third parties (A07)"

  This item of expenditure shall include all the expenses due to activities such as training for which the beneficiary believes it is necessary to rely on third parties, or the costs paid to ensure the researcher's access to core facilities.
- "Consumables (A08)"
   Concerning sub-measure A, this item of expenditure does not include costs of equipment directly used in carrying out the research project formerly funded by ERC, but may concern possible additional quotes of equipment for curiosity-driven research, which will allow the research institution to improve its hospitality. Concerning sub-measures B and C, this item of expenditure allows the inclusion of

costs for consumables useful to consolidate preliminary data in view of a future European candidacy.

- "Current expenses (A09)"
   This item of expenditure a lump-sum amount in favor of the beneficiary hosting institution must not exceed 5% of the total budget.
- "Other management expenditures (A10)"
   This item of expenditure covers actions and activities aimed at improving networking with other institutions and researchers, as well as activities aimed at training young researchers. Especially concerning sub-measure A, this item of expenditure can include accommodation costs, benefits offered to the researcher and his/her family (i.e. kindergarten or school for children, Italian language courses, etc.) and travel expenses.

All costs must be properly justified and proportionate to the final objective pursued through the requested and awarded grant.

#### 6 - Financial endowment

The total financial budget is 4,950,625 € (2,150,000 € from Fondazione Cariplo and 2,800,625 € from Regione Lombardia).

The allocation of the financial endowment per sub-measure is as follows:

	Financial endowment available to beneficiaries according to this notice, €
Sub-measure A	1,200,000
Sub-measure B	1,500,000
Sub-measure C	2,250,625
TOTAL	4,950,625

The Regione Lombardia and Fondazione Cariplo reserve the right to:

- extend the deadlines in the event that not all of the available resources are used;
- provide additional funding for the call through specific measures;
- balance out and transfer resources between sub-measures.

### 7 - Application submission and admission to the pre-assessment phase

Applications must be submitted online between **14.30 on July 14<sup>th</sup>**, **2014 and 12.00 on November 21<sup>st</sup>**, **2015** through the Fondazione Cariplo website (<a href="www.fondazionecariplo.it">www.fondazionecariplo.it</a>) or will otherwise be excluded. Applicants who do not have a valid username and password to enter the Restricted Area [Area Riservata] of the Fondazione Cariplo website must first register.

Forms and attachments — which will be made available from July 14<sup>th</sup>, 2014 in a specific section of the Fondazione Cariplo website (<a href="www.fondazionecariplo.it">www.fondazionecariplo.it</a>) — must be fully completed and, where requested, duly signed and sent through the Fondazione Cariplo IT platform. Applicants must keep the original signed documents for 5 years. A scanned copy of the documents must be sent to the Fondazione Cariplo

through its portal. Applications submitted as paper documents or by means of forms other than those specifically provided will be rejected.

Applicants can submit their application only after starting the registration process with the Questio regional system (<u>www.questio.it</u>) for the year concerned.

Once the application for funding has been submitted online, the computer system assigns a protocol number (i.e. the identification number to which applicants must refer during the next steps of the procedure) and delivers a receipt of the application to each applicant.

Concerning sub-measure C, each beneficiary can only submit one application: should more than one application be submitted, the first application in chronological order will be considered and admitted for merit assessment. The chronological order will be established on the basis of the protocol number assigned by the computer system.

The Regione Lombardia and Fondazione Cariplo cannot be held responsible for communication loss or late delivery due to incorrect or incomplete spelling of the email address by the applicant, nor for any computer system errors caused by third parties or attributable to chance or *force majeure*.

# 7.1 - Automatic blockage of the Evaluation window

Once the funding limit for each sub-measure in this notice is reached, the Fondazione Cariplo will post the notice "FUNDING LIMIT REACHED" [RISORSE ESAURITE] in the online access area.

Candidates may nevertheless proceed and apply for funding. This will generate a waiting list up to the attainment of an additional amount equal to 50% of the financial endowment pertaining to each sub-measure. Once this limit has been reached, it will no longer be possible to submit further applications for grants through the window pertaining to the specific sub-measure for which no more resources are available.

The successful delivery of applications submitted after the posting of the "FUNDING LIMIT REACHED" notice will not entitle applicants to pre-assessment for the related application. These applications will only be admitted to the next pre-assessment phase – in chronological order of delivery – when resources deriving from negative pre-assessments, renunciations, forfeitures, etc. are subsequently made available.

#### 7.2 - Application pre-assessment and evaluation

For all three sub-measures, pre-assessment is carried out within 60 days of application submission on a two-month basis (deadlines falling on a weekend/holiday are postponed to the first workday). The pre-assessment entails:

- formal-administrative pre-assessment concerning the submission procedure and completion of the required mandatory documents, and about the timing and the fulfillment of subjective and objective criteria as required by this notice (Sections 3-4-5); the assessment is carried out by Fondazione Cariplo's Research Department with the support if necessary of the *pro tempore* Regional Structure for Research, Innovation and Technology Transfer of the General Direction on Productive Activities, Research and Innovation [Struttura Regionale Ricerca, Innovazione e Trasferimento Tecnologico della Direzione Generale Attività, Produttive, Ricerca e Innovazione (APRI)];
- merit pre-assessment of the projects formally admitted evaluation; the assessment is performed by a Technical Committee, as established on the basis

of Section 3 of the Collaboration agreement signed by the Regione Lombardia and Fondazione Cariplo on June 29th, 2012, and composed of six members, of whom three members are appointed by the Regione Lombardia: the protempore General Director of the General Direction for Productive Activities, Research and Innovation, the *pro-tempore* Executive Manager of the Operating Programming, Research and Innovation [Unità Programmazione, Ricerca e Innovazione] of the General Direction on Productive Activities, Research and Innovation, and the pro-tempore General Director of the General Direction for Education, Training and Employment [Direzione Generale Istruzione, Formazione e Lavoro], or their delegates; and three members appointed by the Fondazione Cariplo and indicated in the Collaboration agreement, or their delegates. If needed, the Technical Committee can be supported by an independent expert panel. The Technical Committee will evaluate the projects on the basis of the criteria indicated below, according to the following schedule and as long as resources are available:

TIMEFRAMES OF APPLICATION SUBMISSION	TIMING OF THE PRE-ASSESSMENT	
	(within 60 days of the two-monthly deadline) in the event that resources are not used up before the scheduled window closure for the three sub-measures	
July 14 <sup>th</sup> , 2014 – September 14 <sup>th</sup> , 2014	By November 14 <sup>th</sup> , 2014	
September 15 <sup>th</sup> , 2014 – November 15 <sup>th</sup> , 2014	By January 15 <sup>th</sup> , 2015	
November 16 <sup>th</sup> , 2014 - January 16 <sup>th</sup> , 2015	By March 16 <sup>th</sup> , 2015	
January 17 <sup>th</sup> , 2015 - March 17 <sup>th</sup> , 2015	By May 18 <sup>th</sup> , 2015	
March 18 <sup>th</sup> , 2015 – May 18 <sup>th</sup> , 2015	By July 20 <sup>th</sup> , 2015	
May 19 <sup>th</sup> , 2015 – July 19 <sup>th</sup> , 2015	By September 21 <sup>st</sup> , 2015	
July 20 <sup>th</sup> , 2015 – September 20 <sup>th</sup> , 2015	By November 20 <sup>th</sup> , 2015	
September 21 <sup>st</sup> , 2015 – November 21 <sup>st</sup> , 2015	By January 21 <sup>st</sup> , 2016	

During the pre-assessment and evaluation activities, the Fondazione Cariplo and Regione Lombardia reserve the right to ask applicants for any clarification and additional information they deem necessary, as well as for any variation/change of the economical framework of the project. The due date for the answer will also be established, which in any case will not exceed 15 calendar days from the application date.

The merit assessment of the projects is intended to verify the added value of the planned activities and their effectiveness in meeting the objectives of this notice.

# Evaluation criteria:

	ITEMS TO BE ASSESSED	SCORE
		YES (1) - NO (0)
Sub-measure A – attractiveness	<ol> <li>Consistency between the profile/research area of the recruited researcher and the development strategies of the hosting organization and the Lombardy research system.</li> </ol>	YES/NO
	<ol><li>Creation of best practice communities that are accessible to all researchers for sharing successful strategies for ERC competitions.</li></ol>	YES/NO
	<ol><li>Development of actions aimed at making knowledge a long-term asset and integration prospects for the ERC beneficiaries in the structure.</li></ol>	YES/NO
	<ol> <li>Significant reinforcement of the services and organizational structure supporting the recruited researcher.</li> </ol>	YES/NO
	<ol><li>Presence of an appropriate financial plan that is also adequately documented in terms of duration.</li></ol>	YES/NO
Sub-measure B – reinforcement	<ol> <li>Significant added value of the proposed activities to strengthen the candidate in view of a future ERC call.</li> </ol>	YES/NO
	<ol><li>Significant added value of proposed activities to strengthen research in view of a future ERC call.</li></ol>	YES/NO
	<ol> <li>Development of actions aimed at making knowledge a long-term asset as well as integration prospects for the potential ERC beneficiaries in the organizational structure.</li> </ol>	YES/NO
	<ol> <li>Significant reinforcement of the services and organizational structure to support future research leaders.</li> </ol>	YES/NO
	<ol><li>Presence of an adequate financial plan that is also properly documented in terms of duration.</li></ol>	YES/NO
Sub-measure C – new opportunities	<ol> <li>Investment of resources from the Fondazione Cariplo or Regione Lombardia in candidates characterized by sound curricula in the field of international research.</li> </ol>	YES/NO
	<ol><li>Presence of structured training and/or coaching programs also implemented in synergy with various disciplinary areas.</li></ol>	YES/NO
	<ol> <li>Development of actions aimed at making knowledge a long-term asset and integration prospects for the potential ERC beneficiaries in the organizational structure.</li> </ol>	YES/NO
	<ol> <li>Significant reinforcement of the services and organizational structure to support future research leaders.</li> </ol>	YES/NO
	<ol><li>Presence of an adequate financial plan that is also properly documented in terms of duration.</li></ol>	YES/NO

The Committee reserves the right to re-determine the total investment and the amount of the awardable grant in the event that the financial plan is inadequate for

the objectives and duration of the project or the resources are insufficient to finance the whole project.

Projects not reaching a score of 2 out of 5 will not be admitted for funding. The evaluation procedure will terminate with the approval of a list of the admitted (fundable or non-fundable because of resource consumption) and non-admitted projects for each sub-measure, in decreasing order by score; for equal scores, priority will be established on the basis of the chronological order of application.

Financial resources will be allocated at the end of the evaluation procedure following approval of the respective authorities of the Regione Lombardia (decree by the Person in charge of the Procedure) and Fondazione Cariplo (resolution by the Board of Trustees).

# 8 - Reporting

The beneficiaries shall submit a report within 120 days of project completion, according to the procedures outlined by the Fondazione Cariplo in its Grant Management and Reporting Guide [Guida alla rendicontazione] and available on the Fondazione Cariplo website, in the Reporting and audit [Rendicontazione e audit] section under Electronic report calls [Bandi con modalità di rendicontazione elettronica] (starting in 2007). Once the grant has been awarded, the beneficiaries must attend a training meeting on reporting procedures that will be organized by Fondazione Cariplo.

Note that the financial account must indicate those expenses actually incurred for activity implementation. All the expenses actually incurred and reported must pertain and be linked to the activities supported by the grant. All invoices and other accounting documents must be issued solely in the name of the beneficiaries of the grant.

For the purposes of grant disbursement, the amount of reported allowable expenditures actually incurred and receipted for each activity is to be at least equal to the minimum planned investment, and in any case equal to the awarded grant; once verified, if the expenditures are lower, the grant will be adjusted accordingly, provided that the total allowable expenditures incurred exceed the minimum investment established for each sub-measure. An increase in the total cost of the investment will in no case result in an increase of the awarded grant.

#### 9. - Grant disbursement

Once it has been ascertained that the report is correct, the Fondazione Cariplo and – in light of the result of the pre-assessment, as communicated by Fondazione Cariplo itself – the Regione Lombardia will disburse the grant in favor of the beneficiary institution in proportion to the respective funding quote and according to the following *tranches*:

- 30% of the financial benefit at the start of the project, within 120 days of online communication of project initiation;
- 40% of the financial benefit when 50% of the total investment has been used and a mid-term report (scientific and economic) has been submitted, within 120 days of the online report transmission;
- 30% of the financial benefit at project completion, upon submission of a final financial account and report, within 120 days of online report transmission.

#### 10 - Beneficiaries' duties

The beneficiaries shall, on penalty of grant loss:

- 1. fulfill all the conditions provided for in this notice;
- 2. ensure that the implemented interventions are the same as those described in the submitted application;
- 3. supply all the required documents and information under the terms and conditions established by this notice and by the ensuing acts;
- 4. ensure that the planned activities start and terminate within the terms set by this notice, unless an extension has been allowed by the funding subjects;
- 5. ensure a timely and complete activity implementation consistent with the application submitted and admitted for the grant, with the exception of any changes previously authorized by the Regione Lombardia and/or Fondazione Cariplo:
- 6. concerning sub-measures B and C only, ensure that, in case of ERC funding, the researcher uses at least 50% of the financial research investment with the beneficiary of the grant awarded by the Regione Lombardia or Fondazione Cariplo;
- keep for at least 5 (five) years starting on the date of full grant disbursement

   the accounting, technical and administrative documents proving the incurred
   and reported expenses, as well as all the documents relating to the expense
   process (from budget to the delivery of acquired goods) and to supply them
   should a control take place;
- 8. undertake not to use the grants provided for by this notice in conjunction with other benefits obtained for the same expenses;
- 9. provide financial backing for those expenses not covered by the grant.

The beneficiaries must also promptly notify– and in any case before submitting the financial account of the incurred expenses – any variation relating to the expenses indicated in the submitted application. Any such variation is to be communicated to the Fondazione Cariplo through the electronic procedure outlined in its Guide to Reporting.

Furthermore, before receiving the grant the beneficiaries must complete the registration process with the Questio regional system (www.questio.it).

### 11 - Renunciations, forfeiture and penalties

The awarded grant is subject to complete forfeiture in the event one or more of the indications or duties included in this notice are not fulfilled, and in the event of one of the following:

- 1. the statements supplied and signed in the grant application are false;
- 2. the implementation of the intervention is not consistent with the admitted intervention;
- 3. the beneficiary has been awarded other grants for the same reported expenditures;
- 4. the beneficiary does not implement the planned activity within the terms indicated for project completion, unless an extension has been allowed;
- 5. the reported expenditures are lower than the minimum required investment (see Section 5 of this notice);

- 6. the beneficiary does not supply all the required documents during financial accounting or does not supply them in the event of a random check;
- concerning sub-measures B and C and in the case of ERC funding, researchers do not carry out their research with the beneficiary and do not spend at least 50% of their research budget while hosted by the beneficiary him/herself.

In the event of forfeiture, if the grant has already been disbursed, the beneficiaries must pay back the received amounts and the legal interests accrued from the date of grant disbursement. Furthermore, the measures set out in Decree 123/1998 on revocations and penalties will be fully implemented.

The beneficiaries willing to renounce the grant and/or the implementation of their project must communicate it through the platform available for electronic reporting.

#### 12 - Checks and controls

Without prejudice to what is stated in Paragraph 11 (see no. 7 of the above list), the accounting-administrative pre-assessment will be taken care of – for all projects funded through this call – at the Fondazione Cariplo. The Fondazione Cariplo will carry out the internal examination process according to the rules and the practice normally followed for its own calls.

Although it can be considered a  $1^{\rm st}$  level control, this process must in any case be linked to the procedures voluntarily adopted by a private authority (Fondazione Cariplo) that is mainly engaged in *grantmaking* activities in favor of socially useful projects. The prerogatives appertaining to the Regione Lombardia, who – as a granting public authority – can take any useful initiative (including so-called  $2^{\rm nd}$  level controls) to verify the state of project implementation, the fulfillment of duties imposed by the grant disposition and the truthfulness of statements and the supplied information at the beneficiary's location, therefore remain unchanged.

With reference to expenditure certification, the procedures outlined by the Fondazione Cariplo in its Grant Management and Reporting Guide (Chapter 10), complemented by the Guidelines on entrusting audit responsibility [Linee-guida per l'affidamento dell'incarico di audit] are confirmed.

Periodic random controls will be carried out by the Regione Lombardia - or by a subject specifically appointed by the Regione Lombardia - on 10% of the beneficiaries at their headquarters in order to assess the state of project implementation, the fulfillment of obligations imposed by the grant and the truthfulness of the statements and information supplied.

# 13 - Person in charge of the procedure at Regione Lombardia and contact people at Fondazione Cariplo

The person in charge of the procedure at Regione Lombardia is Dr. Carlo Corti, *protempore* Executive Manager of the Structure for Research, Innovation and Technology Transfer – Operating Unit on Programming, Research and Innovation – General Direction on Productive Activities, Research and Innovation.

The contact people at the Fondazione Cariplo are Dr. Carlo Mango, *pro-tempore* Director of the Scientific Research Area and — limited to the administrative pre-assessment of reporting — Dr. Luigi Maruzzi, *pro-tempore* Director of the Disbursement Management Area [Area Gestione Erogazioni].

# 14 - Privacy policy

In observance of art. 13 of Legislative Decree 196/2003 (June 30<sup>th</sup>, 2003) the data controllers are:

- the Fondazione Cariplo, by its *pro-tempore* President;
- The Executive Board of the Regione Lombardia, by its *pro-tempore* President Piazza Città di Lombardia, 1 20124 Milan.

### The data processors are:

- at the Fondazione Cariplo: the *pro-tempore* Director of the Scientific Research Area [Area Ricerca Scientifica];
- at the Regione Lombardia: the General Director of the General Direction on Productive Activities, Research and Innovation, as well as Finlombarda SpA represented by its Legal Representative (for activities linked to grant disbursement).

For the purposes of grant disbursement provided for by this call, data provision is mandatory. Failure to supply data entails the forfeiture/loss of the beneficiary's right to the grant.

The acquired data will be processed manually; informatics will be used only for purposes relating to the administrative procedure for which they have been communicated, in accordance with the law and the current regulations.

Note that interested subjects can exercise the rights provided for in Paragraphs 1, 3 and 4 of Section 7 of Legislative Decree 196/2003, including:

- the right to obtain confirmation as to whether or not personal data concerning them exist;
- updating, rectification or integration of the data;
- erasure, anonymization of data that have been processed unlawfully, including data whose retention is unnecessary for the purposes for which they have been collected or subsequently processed;
- the right to state that the abovementioned operations have been notified to the
  entities to whom or which data were communicated or disseminated, as well as
  the right to object, on legitimate grounds, to the processing of personal data, even
  though they are relevant to the purpose of data collection, and to object to the
  handling of personal data for the purpose of delivering advertising materials or
  direct selling, etc..

# 15 - Communications and information

This joint notice and the forms needed to take part in the present call are published and available on the Regione Lombardia's (www.attivitaproduttive.regione.lombardia.it ) - in the Collaboration agreements -Agreement with Fondazione Cariplo [Accordi di collaborazione, Accordo con Cariplo]) sections, and the Fondazione Fondazione on Cariplo (www.fondazionecariplo.it).

Requests for information and/or clarification regarding this notice can only be addressed via e-mail to the following address: ricerca@fondazionecariplo.it.